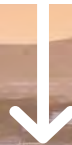




# Welcome to the ISCC Webinar APS 5.1

ISCC System GmbH  
ISCC APS 5.1 Webinar, 3 June 2025





# Your Speakers



Osmar Garduño  
APS Product Manager



Otso Tolonen  
APS Product Owner



# Who We Are

The International Sustainability and Carbon Certification (ISCC) is an independent multi-stakeholder initiative and leading certification system for sustainable, fully traceable, deforestation-free, and climate-friendly supply chains. Under our certification, we ensure environmentally, socially, and economically sustainable production.

# Agenda

- **Welcome and Introduction to the Webinar**
- **Key updates in APS 5.1**
- **Technical and administrative clarifications for APS 5.1**
- **Upcoming activities**



# Fundamentals refresher

# Refresher

- **Audit Procedure System (APS)**

- **Goal: To simplify the audit preparation and to make the audits more efficient**
- Facilitates and simplifies the audit procedures.
- Displays only audit-relevant questions based on scope.
- Allows different auditors to work on the same audit.
- Generates Audit Reports (MAR, SAR).

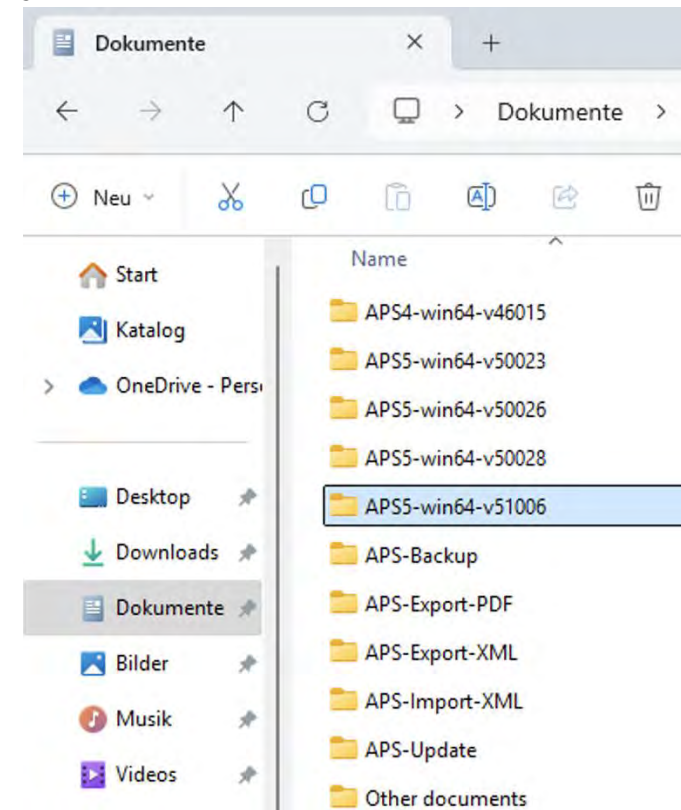
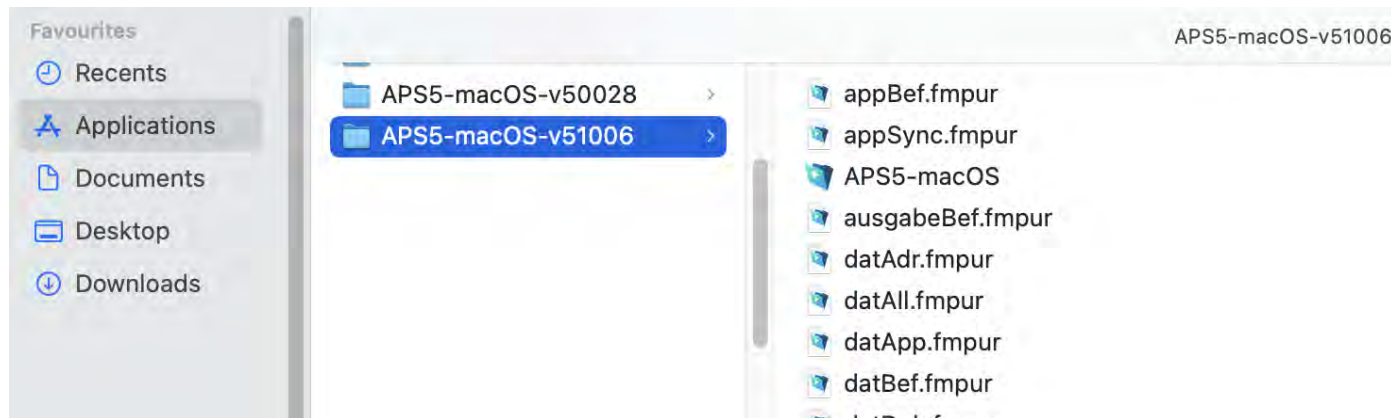
# Access and smooth use of APS

## 1. Access to the APS section in the ISCC Website

- ISCC Website > Client section > Cooperating CBs > APS (Audit Procedure System)
- CB Admins in the ISCC HUB add users as „Certification Body employees”.

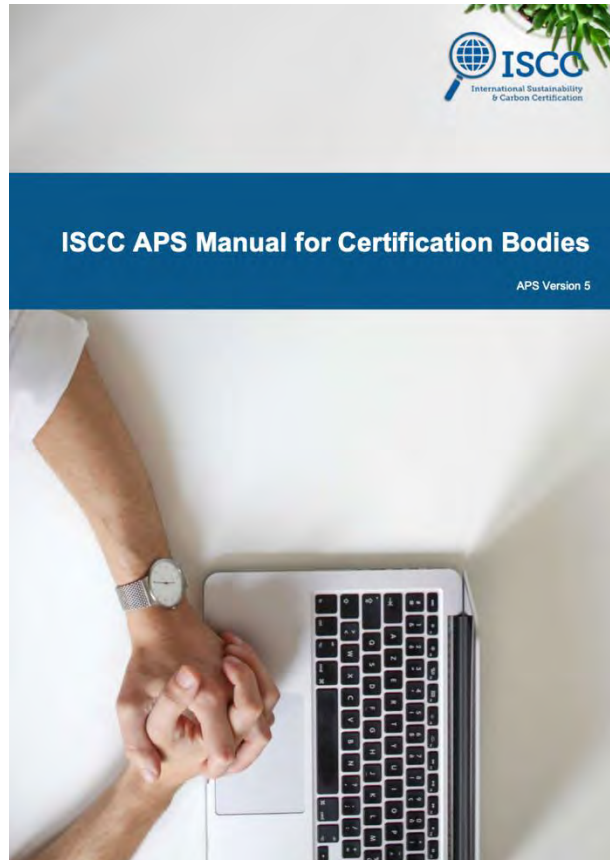
## 2. APS on the Documents/Applications folder

## 3. Not saved in any cloud synchronized folder





# Resources



## Audit Procedure System “APS” Version 5.1 Release notes

<b>Release Date</b>	Audit Procedure System (APS)
<b>Date</b>	21 May 2025
<b>Version</b>	APS 5.1
<b>Description</b>	This version is released in line with the applicability of the ISCC EU update regarding RED III. It also includes adjustments in the questionnaire and a new setup for surveillance audits for the first year of certification, and updated Summary Audit Report (SAR) templates.

### List of changes

UPDATED REQUIREMENTS ACCORDING TO RED III.

MERGED, DELETED, ADDED AND MODIFIED REQUIREMENTS

WORDING ADJUSTMENTS

SUMMARY AUDIT REPORT

NEW FEATURES

IMPROVEMENTS ON EXISTING FEATURES

BUG FIXES

		ISCC Documents ▾
ISCC EU and PLUS (Combined)	+	– ISCC System Documents
		– ISCC Audit Procedures
ISCC EU (Independent Smallholders)	+	– ISCC Material Lists
		– ISCC System Updates
ISCC CORSIA (PLUS)	+	Independent



# Key Updates in APS 5.1

# Summary of changes

Applicable for all systems	ISCC EU	ISCC CORSIA	ISCC PLUS
1. SAR templates update (v5.2 for EU/PLUS) (v2.3 for ISCC CORSIA)	1. RED III updates	1. Wording adjustments	1. Updated OBP Sample audit
2. Revised and corrected questionnaire: <ul style="list-style-type: none"><li>- Rules</li><li>- Merging questions</li></ul>	2. Updated GHG emission savings questions	2. Added question 00.02.042 Management of waste and virgin vegetable oils	2. New subchapter for EN 15343 add-on (02.10.)

# Summary Audit Report updated template

Change applied	Version 5.2		Version 2.3
	ISCC EU	ISCC PLUS	ISCC CORSIA
Explanatory paragraph on section 3.3 Risk Assessment.	X	X	X
Indication of the assurance level of the audit	X	X	
Raw material category hidden	X		X
Addition of e <sub>B</sub> emission saving factor in section 3.4. Summary of Activities	X	X	
Voluntary improvement measures section deleted	X	X	X

# Updates in APS 5.1

Update	Description
1. Surveillance audits on first certification period	For mandatory surveillance audits on the first year of certification (ISCC EU 201, p.32), relevant questions regarding material flows will be asked.
2. Spelling feature	Feature that detects errors in spelling of answers. Toolbar Edit > Spelling > Check selection/Check record/Check all
2. MAR and SAR fixes	Verification that all information appears in the right format. Signatures are possible to add. Deletion of non-relevant information, depending on the system
3. Corrected applicability for GHG Calculation provision	Adjusted formation of the rule on which scopes need to provide the GHG calculation to ISCC: FA Farms and plantations, Central office (group of Farms), Central office (group of PO), Collecting Point, First gathering point, Processing Unit, Final Product Refinement
4. XML “required files for ISCC-HUB” formation updated	This feature was adapted for a successful submission to the ISCC HUB
5. Revised and corrected features	Corrections for easier navigation and information fill-out on: Recommendations, Leading Approaches, Non-Conformities, and Technical Review.



# New feature: Surveillance audits questions

- For mandatory surveillance audits in the first year of certification, relevant questions regarding material flows will be asked.

- **Quantity reporting time period guidance**

*Surveillance audits: The reporting period should start with the first day of the certification period and be as close as possible to the surveillance audit date. This time period is the same for all audited scopes. Note that quantity-dependent fees and invoicing are not applicable for surveillance audits.*

- **Other questions included**

- Indication on materials/biomass claimed as sustainable
- Outgoing sustainable material claimed as sustainable

- **Please verify the guidance on each relevant question**

# This is a surveillance audit on the first year of certification

Surveillance Audit

Sample Audits

Questionnaire

Recommendations

Leading Approaches

Non-Conformity

Technical Review

Print & Export

System User

System User [SU]

XYZ

Legal form

AG

Street - No.

XYZ Street

40

City

Example city

Country

Germany

DE

Geocoordinates Latitude

56.9876

Geocoordinates Longitude

45.6789

Name(s) of company representative(s) present during the audit

ISCC-Registration No.

ISCC-Reg-20012

Contact details of relevant department

Certification Body [ CB ]

Certification Body [CB]

Accreditio GmbH

Legal form

Accreditio

Street - No.

Margie Burgs

799

City

Port Valstad

Country

United States

US

E-Mail

cb.central@e-mail

Website

cb Homepage

Surveillance Audit data

APS Version 5.1.0.006f

Type of Audit

Surveillance Audit

Audit name

Surveillance for

Certification Scope

Farm / Plantation, First Gathering Point, Processing Unit

ISCC System

ISCC EU ☒ ISCC PLUS ☐ ISCC CORSIA ☐

Start of the audit

28.05.2025

Reason for Surveillance Audit

Example

Name of Lead Auditor

Name of Auditor

Name(s) of further auditor(s) of the team

ABC

Name of GHG expert

Name of GHG auditor

Are Sample Audits required?

☒ yes ☐ no

Main Audit data

Audit name

20012 - FA - FG - PU - 20250528

Start / Scope of the audit

28.05.2025

Farm / Plantation, First Gathering Point,

ISCC System

☒ ISCC EU ☐ ISCC PLUS ☐ ISCC CORSIA

Name of Lead Auditor

ABC

Name(s) of further auditor(s) of the team

ABC

Recertification

☐

Extensions to Main Audit

Type of Operation / Scope to be audited

☒ FA **Farm / Plantation**

☒ FC Central Office (Group of FA)

☒ PO Point of Origin

☒ POC Central Office (Group of PO)

☒ CP Collecting Point

☒ FG **First Gathering Point**

☒ TR Trader

☒ TRS Trader with Storage

☒ WH Warehouse and Storage Facilities

☒ LC Logistic Center

☒ ET ETBE plant

☒ MT MTBE plant

☒ PU **Processing Unit**

☒ FPR Final Product Refinement

+ Sample-Audits


START

Audits

Data Import

System User

CB Data

 **ISCC**  
International Sustainability  
& Carbon Certification

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# The specifications on the quantity reporting time period is indicated on the guidance

Surveillance Audit

Sample Audits

Questionnaire

Recommendations

Leading Approaches

Non-Conformity

Technical Review

Print & Export

Audit

created in APS Version 5.1.0.006f

Type

Surveillance Audit

ISCC EU ☒

ISCC PLUS ☐

ISCC CORSIA ☐

Systemuser [SU]

XYZ - Example city - Germany

ISCC-Registration No.

ISCC-Reg-20012

Questions

Total

225

Open

134

Show open

Search

00.01.013

Phone

00.01.014

E-Mail

00.01.015

Second ISCC Contact

00.01.021

Relevant department

00.01.022

Type of Operation/Scope to be audited

00.01.025

Add-ons

00.01.026

ISCC Registration Number

00.01.027

Recertification

00.01.030

Total Turnover of the Legal Entity

00.01.032

NTR number

00.01.033

Invoicing contact

00.01.047

Quantity reporting time period

00.02

02 - Audit Specific Data

00.02.001

Name of lead auditor

00.02.002

Name(s) of further auditors

00.02.003

Place of the audit

00.02.004

Date of the audit

00.02.005

Duration of the Audit

00.02.006

Name(s) of company representative(s)

00.02.007

Service providers/sub-contractors

00.02.009

GHG option for outgoing sustainable material

00.02.011

Actual value: GHG Emissions calculated

Question

Chapter

00 - Basic Data

Sub-Chapter

01 - Operational Unit

Question No. - Name

00.01.047 - Quantity reporting time period

Question

Indicate the time period for the reporting of materials declared as sustainable within the last certification period (basis for quantity-dependent fees calculation and invoicing, please see guidance for clarification).

Answer(s)

Date from

Date to

Findings

Guidance

Surveillance audits: The reporting period should start with the first day of the certification period and be as close as possible to the surveillance audit date. This time period is the same for all audited scopes. Note that quantity-dependent fees and invoicing are not applicable for surveillance audits.

Recertification audits: This time period is the same for all audited scopes. Note that this time period is the basis to calculate the quantity-dependent fees. The indicated quantity report time period shall be as close as possible to the last certification period.

Documents / Evidence



# Requirements regarding material flows will be asked

Surveillance Audit

Sample Audits

Questionnaire

Recommendations

Leading Approaches

Non-Conformity

Technical Review

Print & Export

Audit

created in APS Version 5.1.0.006f

Type

Surveillance Audit

ISCC EU ☒

ISCC PLUS ☐

ISCC CORSIA ☐

Systemuser [SU]

XYZ - Example city - Germany

ISCC-Registration No.

ISCC-Reg-20012

Questions

Total

225

Open

134

Show open

Search

00.02.039

Are electronic traceability database used

00.02.040

Waste and residues

00.02.043

Storage facilities

00.02.049

ARIA report generated

00.02.052

Cross check of sustainability claims

00.05

05 - Processing Units

00.05.001

Specify the Type of Processing Unit

00.05.002

Tolling agreement

00.05.004

Production capacity

00.05.005

Final biofuel/bioliquid/biomass fuel producer

00.05.006

Start date of biofuels production

00.05.008

Incoming material GHG information

00.05.010

Are methane capture devices in place?

00.05.011

Sustainable material to be produced

00.05.013

Incoming and outgoing sustainable material

00.05.014

Total amount of outgoing sustainable material - ISCC EU

00.06

06 - First Gathering Point and Central Office (Group)

00.06.001

Type of biomass

00.06.002

Number of ISCC compliant farms/plantations/forest sourcing

00.06.003

Type of agricultural producer

00.06.005

Total number of individual farms/forest sourcing areas

00.06.007

Risk level regarding ISCC sustainability principles

00.06.008

Application of risk criteria with regard to farms/plantations/forest

Question

Chapter

00 - Basic Data

Sub-Chapter

05 - Processing Units

Question No. - Name

00.05.013 - Incoming and outgoing sustainable material

Question

Incoming and outgoing material declared as sustainable under ISCC since the previous certification audit:

Answer(s)

No.

1

Material incoming

mt

Material outgoing

mt

Raw material category

+

No.

Material incoming

Amount incoming

Material outgoing

Amount outgoing

Raw material category

1

Material incoming

mt

Material outgoing

mt

Raw material

Guidance

For surveillance audits, the reporting period should start with the first day of the certification period and be as close as possible to the surveillance audit date.  
The field "Raw Material Category" is only applicable to ISCC PLUS.  
Note: Please only enter full numbers into the field. We ask you to round decimal numbers to full numbers. Do not use any commas, full stops, decimal points, etc.

Documents / Evidence

# Technical and administrative clarifications for APS 5.1



**APS 5.1 should be saved in a local folder**



Imported audits from previous versions will be locked

## For ISCC EU



- Main audits starting on 21 May 2025 please use either only APS 5.1 or v5.0 + gap audit procedures until the end of the transition period (16 June 2025).
- If the audit occurred on 20 May or before, and the documentation is submitted after 21 May, APS 5.0 is accepted.

**For ISCC PLUS and CORSIA**, the use of v5.1 is optional until the end of the transition period.





# Upcoming activities

# ISCC APS On-Demand Training

- **Goal:** To strengthen basic knowledge, support the safe and confident use of the ISCC APS software, provide special workarounds, and promote technical understanding.

- For new and experienced auditors, and CB Staff.

- **Coming soon...**

Module	Name
1	Introduction to APS: Installation and Overview
2	Audit types
3	The Questionnaire
4	Recommendations”, “Leading Approaches”, “Non-Conformities and more features
5	Print and Export
6	Documentation and administrative processes for CB Admins
7	Technical background knowledge

## Future updates



- **APS installation and operation improvements**
- **ISCC schemes added**
- **Add-ons included and more...**



# THANK YOU

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